

**UNITED STATES DISTRICT COURT  
WESTERN DISTRICT OF LOUISIANA  
LAFAYETTE DIVISION**

**SECURITIES & EXCHANGE COMMISSION      CASE NO. 6:18-CV-01229**

**VERSUS      JUDGE JUNEAU**

**WORLD TREE FINANCIAL L L C ET AL      MAGISTRATE JUDGE WHITEHURST**

**MINUTES OF COURT:  
Bench Trial - Day 4**

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Date:	November 5, 2020	Presiding:	Judge Michael J. Juneau
Court Opened:	9:00 a.m.	Courtroom Deputy:	Chris Guidry
Court Adjourned:	4:00 p.m.	Court Reporter:	Cathleen Marquardt
Statistical Time:	5 Hrs./5 Min.	Courtroom:	CR1

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**APPEARANCES**

Joshua A Mayes, Jason Reinsch and Karen King	For	Securities & Exchange Commission, Plaintiff
Steven G Durio and Lauren Noel Maurer	For	World Tree Financial L L C, Wesley Kyle Perkins and Priscilla Gilmore Perkins, Defendants

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**PROCEEDINGS:**

Testimony & evidence for the defendant, concluded  
No Rebuttal testimony or evidence offered  
Evidence Closed  
Case argued

**RULINGS/COMMENTS:** Oral testimony adduced and documentary evidence filed.

Charles Theriot accepted as an expert witness in the field of financial forensics.

Oral Motion for Judgment by the defendant is DENIED. Case taken under advisement. Post trial briefs, not to exceed 10 pages in length, are to be filed by December 13, 2020. A written ruling will be issued.

Counsel shall refer to the official transcript of this hearing for a complete record.

**FILINGS:**

Exhibit List

Witness List